

Frictional Wear Behavior of Ceria Nano-Particles with different Morphologies

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Abstract

We apply a facile and efficient hydrothermal approach to fabricate four types of cerium nanoparticles, nano-octahedron, cube, rod and sphere. We find that the nanoparticles exhibit marked material removal capacity due to the morphology with well-defined edges, which introduces large contacting stress between the nano-particles CeO₂ slurry and the silicon single crystal substrate. In addition, the frictional wear behavior of the ceria nano-particles with the well-defined edges is found to be of the micro-ploughing wear. The nano-particles with smooth curved surface are found to show good polishing quality in virtue of the abrasive wear mechanism.

Keywords: Hydrothermal process; CeO₂ microstructure; Frictional wear behavior; Morphologies.

Introduction

Ceria (CeO₂) particles have received a great deal of attention recently due to the irremarkable catalytic activity, oxygen sensors, ultraviolet absorbers, polishing performance and so on [1-4]. In particular, sphere-shaped nanoparticles have attracted extensive interest because of their excellent polishing quality [5-11]. Up to now, much effort has been devoted to the controllable synthesis of CeO₂ nanoparticles with a specific size and shape [12-19]. The shape and size of nanocrystals impose significantly impact on their physical and chemical properties [20-22].

The polishing mechanism for CeO₂ spheres is dominated by surface grinding because there is no sharp edge. However, the material removal efficiency of the CeO₂ spheres is rather low. To improve the removal efficiency, the nanoparticles with sharp edge may present preferable cutting ability and hence enhance the material removal efficiency. Here, we fabricated four nanoparticles with different morphologies via a facile hydrothermal process. The morphologies of the CeO₂ nano-particles are characterized by field emission scanning electron microscopy (FE-SEM). Moreover, the polishing properties of the CeO₂ nanoparticles at the primary stage of mechanical polishing are investigated.

Experimental

All chemical reagents were of analytical grade (purity: 99.9 wt%, Sigma-Aldrich Co., Ltd) and utilized without further purification. Four specimens with different morphologies (octahedron, cube, rod, and sphere) were synthesized via a facile hydrothermal method no using surfactants and templates. First, cerium nitrate hexahydrate or cerium acetate hydrate was dissolved in distilled water and stirred for 15 min using a magnetic stirrer. Next, the solution was transferred into autoclaves and treated at a definite temperature at under autogenous pressure. Finally, white products were harvested by centrifuging, washing with distilled water and ethanol to remove unexpected ions, and drying at 343K in air. There

agent and synthesis parameters as follow: ceriumnitrate hexahydrate is 1mmol, K_3PO_4 is 0.01mmol, temperature is 453K,time is 12 h (octahedron); cerium nitrate hexahydrate is 1mmol, KOH is 10 mmol, temperature is 453K, time is 18 h (cube); $Ce(NO_3)_3 \cdot 6H_2O$ is 1mmol, K_3PO_4 is 0.01mmol, H_2O_2 is 6ml, temperature is 503K, time is 24 h, calcinated temperature at 573K,calcinated time is 10h(sphere); cerium acetate hydrate ($Ce(Ac)_3 \cdot nH_2O$)is 1mmol,dibasic sodium phosphate (Na_2HPO_4) is 0.01mmol, H_2O_2 is 2 mml (rod).

Octahedron, cube, rod and sphere-shaped nano-particles CeO_2 slurry were used for silicon single crystal substrate polishing with a concentration of 1 wt%. In the polishing process, the polishing pad was rotated with a speed of 2000 rpm. During polishing, a constant load of 10 KPa was applied to the polishing head. The polishing time was 1 min.

Microstructures were characterized via the X-ray diffraction (XRD) and scanning electron microscopy (SEM). For the XRD, a Rigaku D/ max-1200X diffractometry with the Cu $K\alpha$ radiation operated at 45 keV and 200 mA. Morphologies were observed using the Hitachi SU 8000SEM. Microstructures were further observed by transmission electron microscopy (TEM) (JEM-2010F, JEOL) operated at 200 KeV [23-27].

Results and Discussion

To determine the phase of the synthesized particles, XRD analysis was employed as shown in figure 1. Upon a closer indexing, the diffraction peaks of four samples at the angle of 28.5° , 33.1° , 47.5° , 56.3° , 59.1° , 69.4° , 76.7° , 79.1° and 88.4° which could be identified as (111), (200), (220), (311), (222), (400), (331), (420)and(422) lattice planes. No other diffraction peaks were detected by XRD, indicating that the obtained nanoparticles are chemically pure. Hence from the XRD spectra, we could confirm that the four samples which were fabricated via the hydrothermal process are composed of pure fluorite-type ceria without other crystalline impurities.

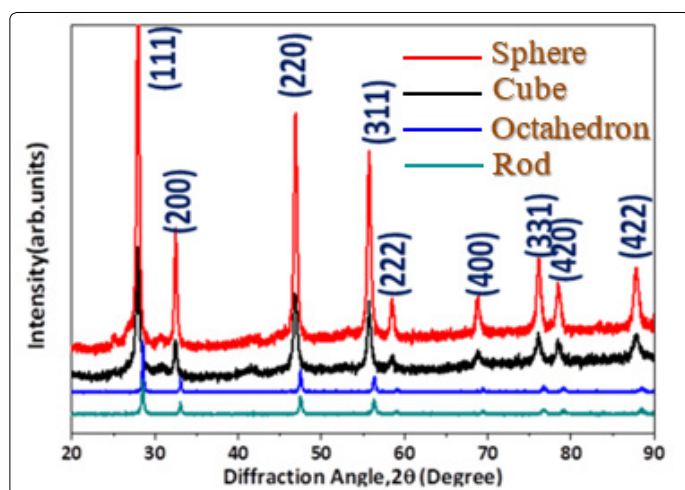


Figure 1. XRD pattern of the CeO_2 samples with different morphologies prepared by hydrothermal method

We carried out SEM to investigate the morphology feature of both as prepared CeO_2 nanostructures. Those CeO_2 nano-octahedron exhibits very sharp corners and edges (Figure. 2(a)). The average size of the nano-octahedrons is estimated to be

100 nm. The figure 2b shows the SEM images of the as-synthesized nanoparticles, from which one can clearly note that the nanoparticles have a cubic shape with sharp corners and well-defined edges. Further, their surfaces are neat and smooth with no other particles adsorbed, the size of the as-synthesized nanoparticles is in principle uniform, ranging slightly from 20 to 44 nm. The uniform sphere particles have an average diameter of about 300nm, and have a crude surface which is covered by wrinkles completely (Figure 2c). Figure 2d presents as-synthesized CeO_2 , which reveal a rod-like morphology for the sample. The nano-rods exhibit an average diameter of ~ 10 nm and an average length of ~ 400 nm.

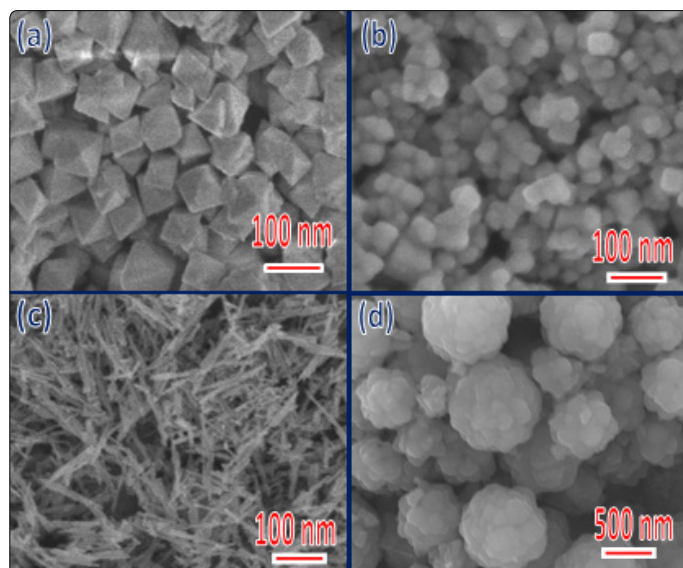


Figure 2. FE-SEM image of (a) octahedrons; (b) cubes; (c) rods; (d) sphere shaped nanoparticles morphologies

To extract microstructure and morphology information of the synthesized CeO_2 nanoparticles, TEM was employed. Fig. 3(a) (d) and (g) show the bright-field TEM images of three typical CeO_2 nanoparticles. Figures 3a and 3b show bright-field TEM images of high crystalline nano octahedrons. The size of the nano-octahedrons is estimated to be 100nm. Figure 3(c) shows typical high-resolution TEM (HRTEM) images taken at a corner of the sample, from which lattice spacing is determined to be ~ 0.31 nm, in line with that of the (111) planes of CeO_2 , thereby confirming that the nano-octahedrons is terminated with {111} planes. Figure 3(d), (e) show two typical bright-field images, which confirm that the nanoparticles are of cubic shape and high crystallinity, and that the surfaces are flat and clean. The nano-cubes have an average diameter of ~ 30 nm. Figure 3 (f) shows a high-resolution TEM (HRTEM) image taken around the corner of a nano-cube. Lattice spacing of two groups of perpendicular lattice fringes is determined to be ~ 0.27 nm, in accord with that of the $CeO_2\{001\}$ planes. The nano-rods are uniform with a diameter of ~ 10 nm and a length of several hundreds of nanometers (Figure 3(g) and (h)). Figure 3 (i) shows an enlarged TEM image of an individual CeO_2 nano-rod, which indicates a perfect crystallinity for the CeO_2 nano-rods. Further selected-area diffraction patterns (SADP) identify the nano-octahedron, nano-cube and nano-rod as face-centered cubic CeO_2 (insert, Figure 3(e), (h) and (i)).

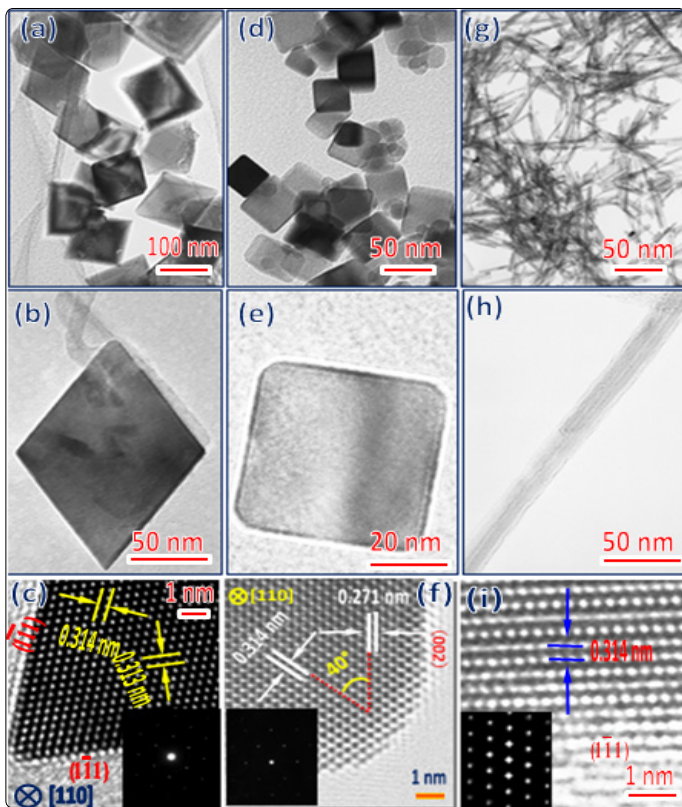


Figure 3. (a) (d) (g) TEM images of the octahedron, cube, rod-shaped CeO₂ nanoparticles. (b), (e), (h) TEM images of the individual nanoparticle with sphere, cube, rod morphologies. (c), (f), (i) HRTEM image of a corner region of the nanoparticles. The inset shows the corresponding SADP identifying each individual nanoparticle.

Figure 4 presents SEM images of the silicon single crystal substrate polished by CeO₂ nanoparticles with different morphologies (octahedron, cube, rod and sphere-shaped). The deep ploughing traces could be detected on the surface of silicon single crystal substrate polished by the octahedron-shaped CeO₂ nanoparticles under a high sliding speed and light-load as shown in figure 4a. From figure 4b, one can confirm that the shadow scratches are found on surfaces of the silicon single crystal substrate due to the rough surface of the sphere-shaped CeO₂ nanoparticles. A small number of slight scratches are observed on surfaces of the silicon single crystal substrate which polished by rod-shaped CeO₂ nanoparticles as show in figure 4c. As can be seen in figure 4d, the furrow scratches are observed on surfaces of the cube-shaped CeO₂ nanoparticles polished silicon single crystal substrate.

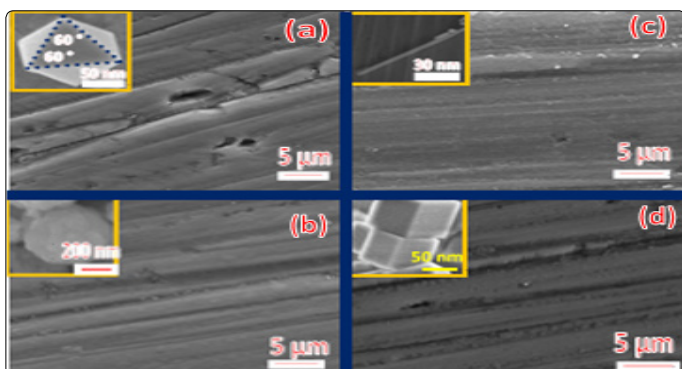


Figure 4. SEM images of the silicon single crystal substrate polished using CeO₂ nanoparticles with different morphologies. The insert shows the corresponding morphologies of polishing CeO₂ nanoparticles.

To shed light on how the interface between the silicon single crystal substrate and CeO₂ nanoparticles affect the polish quality and removal efficiency, compressive stress distribution calculations were conducted using the finite element analysis (FEA). The three-dimensional FEA result shows that the compressive stress of the silicon single crystal substrate is 90236 MPa (nano-cube), 89730 MPa (nano-octahedron) and 1340.7 MPa (nano-spheres) due to the point contact between the CeO₂ nano-particles and silicon substrate (Figures 5c, 5d, and 5g), respectively. While the compressive stress of silicon substrate in case of nano-particles shaped with octahedron and cube are 3681.7 MPa and 2958.5 MPa (Figure 5a and 5e) because the contact method is surface contact. Moreover, the line contact between the octahedron, cube and rod-shaped CeO₂ nano-particles and silicon single crystal substrate could introduce the compressive stress of 23265 MPa (nano-cube), 17671 MPa (nano-octahedrons) and 437.42 MPa (nano-rods) (figures 5b, 5f and 5h), respectively. Hence, the contact form between CeO₂ nano-octahedron and silicon substrate is point contact, which could induce the highest compressive stress.

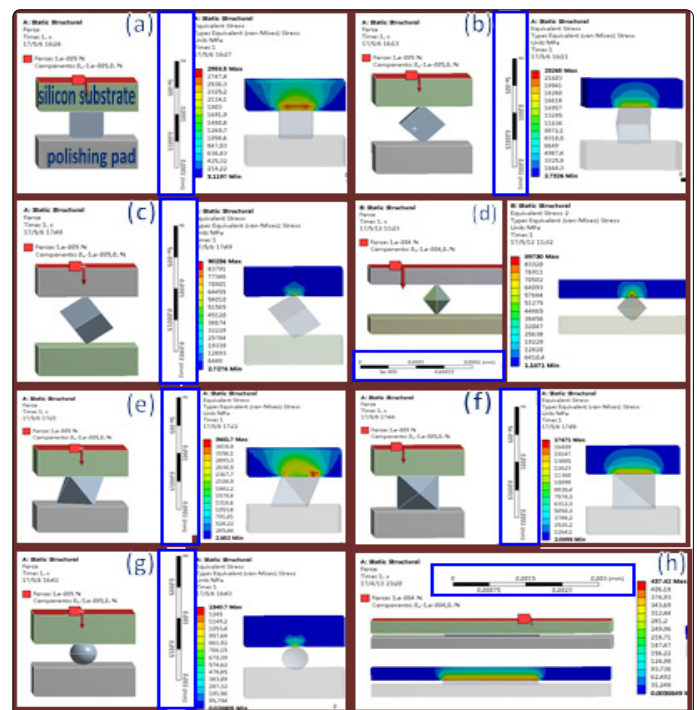


Figure 5. The compressive stress distribution between the silicon single crystal substrate and CeO₂ nanoparticles, which is calculated by the finite element analysis. (a),(b) (c) show the contact form between CeO₂ nano-cube and silicon substrate is surface contact, line contact, point contact respectively. The contact form between CeO₂ nano-octahedron and silicon substrate is (d) surface contact (e) line contact (f) point contact. (g) shows the point contact between CeO₂ nano-sphere and silicon substrate. (h) shows the line contact between CeO₂ nano-rod and silicon substrate.

Since the contact takes the form of point contact, abrasion mechanism of the silicon substrate polished by the sphere-shaped CeO₂ nanoparticles is microscale abrasive wear, while that polished by the nano-octahedron with sharp corners and well-defined edges is micro-ploughing wear [28]. The CeO₂ nano-spheres hold improved polishing property, but the material removal rate of silicon surface is lower than that of

CeO₂ nano-octahedron. Conversely, the CeO₂ nano-octahedrons exhibit a stronger material removal capacity and inferior polishing quality than spheres-shaped CeO₂.

Conclusions

We have utilized a facile and efficient hydrothermal method to synthesize four types of ceria nanoparticles, octahedron, cube, rod and sphere-shaped cerium nanoparticles. As a consequence of the unusual morphology, we find that the nanoparticles, i.e. nano-cube and nano-octahedron with well-defined edge scan introduce large stress in contact area between the nano-particles CeO₂ slurry and the silicon single crystal substrate, which can improve markedly material removal capacity due to the micro-ploughing wear behavior. In addition, we also find that the nano-particles with a smooth curved surface can retain the polishing quality for a long time in virtue of the abrasive wear mechanism.

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